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Express Mail No.: EL 451 507

PENNIE & EDMONDS LLP
 COUNSELLORS AT LAW
 3300 Hillview Avenue
 Palo Alto, CA 94304
 (650) 493-4935

ATTORNEY DOCKET NO. 9775-0031-999Date: March 8, 2000

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): LEWIS B. ARONSON and DANIEL S. KANE Executed on: March 7, 2000Title of Invention: FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS**PATENT APPLICATION FEE VALUE**


TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	23	-20	3	\$18.00 each	\$
Independent	2	-3	0	\$78.00 each	\$
Minimum Fee					\$
Multiple Dependency Fee If Applicable (\$260.00)					\$
Total					\$
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					\$
Total Filing Fee					\$

Also enclosed are:

- XX 6 sheets of formal drawings,
- XX Declaration;
- XX Assignment and PTO Form 1595;
- XX Power of Attorney by Assignee; and
- XX Verified Statement Claiming Small Entity.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150 (9775-0031-999). A copy of the fee schedule sheet is enclosed for such purpose.

Respectfully submitted,



Gary S. Williams
 PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

289B

Express Mail No. EL 451 593 649 US **BOX PATENT APPLICATION**
 Date Mailed MARCH 8, 2000 First Class Mail ()
 Ser. No. _____ Filed _____
 Inventor LEWIS B. ARONSON & DANIEL S. KANE
 For FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR END REFLECTIONS

- ☒ Affidavit/Declaration
☐ Amendment
☒ Application 15 Pages
☒ 23 Claims 6 Drawings sheets/formal
☐ Appeal, Notice of
☒ Assignment & PTO 1595
☐ Brief (in triplicate)
☐ Declaration & Power of Attorney
☐ Design Application
☐ Disclaimer
☐ Disclosure Statement
☐ w/refs. () w/o refs.
☐ Drawings Formal
 Sheets Figures
- ☐ Fee Address Indication Form
☒ Fee Calculation for PATENT APPLICATION
☐ Issue Fee Transmittal
☐ Letter
☐ Oral Hearing Req./Confirm.
☐ Petition to Extend Time
☐ Pet. under 37 C.F.R.
☒ Power of Attorney by Assignee
 Associate w/Revocation
☐ Sequence Listing w/Computer
 Readable and Paper Copies
☒ Small Entity Statement -SM. BUSINESS
☐ Status Letter
☐ Transmittal Letter

JC553 U.S. PTO

09/521639

03/08/00

Other: _____

File No. 9775-0031-999Sender: GSW:lj

#4

Express Mail No. EL 452 481 462 US
 Date Mailed OCTOBER 3, 2001 First Class Mail ()
 Ser. No. 09/521,639
 Inventor LEWIS B. ARONSON et al. Filed 03-08-00

For FIBER OPTIC LASER TRANSMITTER WITH REDUCED NEAR
END REFLECTIONS

☒ Filing Receipt/w/ Miss Pts

☒ Affidavit/Declaration as filed

() Amendment
 () Application Pages
 () Claims Drawings

() Appeal, Notice of
 () Assignment
 () Brief (in triplicate)
 () Declaration & Power of Attorney

() Design Application
 () Disclaimer
 () Disclosure Statement
 () w/refs. () w/o refs.
 () Drawings Formal
 Sheets Figures

☒ date-stamped return postcard

() Fee Address Indication Form

☒ Fee Calculation Value Sheet as filed

() Issue Fee Transmittal

() Letter

() Oral Hearing Req./Confirm.

() Petition to Extend Time

() Pct. under 37 C.F.R.

() Power of Attorney

 Associate w/Revocation

() Sequence Listing w/Computer
 Readable and Paper Copies

☒ Small Entity Statement as filed

() Status Letter

() Transmittal Letter

Other: Communication concerning Missing Parts - Petition to Withdraw
Holding of Abandonment and Alternative Petition to Revive

File No. 9775-0031-999

Sender: GSW:lj

3/00 PTO Deposit Acct pg 13-21 #161150
 4/00 PTO Deposit Acct pg 14 #161150

REPLACEMENT FILING RECEIPT



OC000000005111773

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOTAL CLAIMS
09/521,639 ✓	03/08/2000 ✓	2874	0	9775-0031-999	6	23

Gary S Williams
Pennie & Edmonds LLP
3300 Hillview Avenue
Palo Alto, CA 94303RECEIVED
PENNIE & EDMONDSMAY 30 2000
GSW-2898
CA OFFICE

Date Mailed

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and priority as indicated on the application. You will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, your NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees for examination, check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If a change is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you receive a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will issue another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Lewis B Aronson, Los Altos, CA;
Daniel S Kane, San Francisco, CA;

Continuing Data as Claimed by Applicant

Foreign Applications

6/8/00 - DISCL.
11/8/00 - FDS FILED
2/8/01 - ILMU C...
3/8/01 - CYS FILED
9/8/01 - CR FOR

Title

Fiber optic laser transmitter with reduced near end reflections ✓

Preliminary Class

385

Data entry by : BLIZZARD, SHARON

Team : OIPE

Date: 03/13/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOR LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all cases if the conditions for issuance of a license have been met, regardless of whether or not a license is set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(b). The license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notice. The date indicated is the effective date of the license, unless an earlier license of similar scope is in effect under 37 CFR 5.13 or 5.14.

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- The articles such as "a," "an" and "the" are not included as the first words in the title. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as the first words in the title of an application because a patent application, by nature, is a new idea or improvement.
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- The docket number allows a maximum of 25 characters.
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Any corrections that may need to be done to your Filing Receipt should be directed to:

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Customer Service Center
Washington, DC 20231

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(650) 493-4935 Fax: (650) 493-5556

WRITER'S DIRECT DIAL:
(650) 849-7721

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Pages (including this page): 23

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FORMALITIES LETTER



"OC000000005111769"

UNITED STATES DEPARTMENT OF
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY
09/521,639	03/08/2000	Lewis B Aronson	

Gary S Williams
Pennie & Edmonds LLP
3300 Hillview Avenue
Palo Alto, CA 94303

Date:

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated, however, are missing. Applicant is given TWO MONTHS from the date of this Notice with which to submit the required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(e).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity declaration of such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$.
■ \$54 for 3 total claims over 20.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130.
- The balance due by applicant is \$ 874.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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